

imation and without the large numbers of adjustable parameters, may furnish a more satisfactory (and satisfying) approach.

Since this method allows for inclusion of specific interactions then data analysis using the technique might furnish a better understanding of the basic physical mechanisms operating in a metal. Attempts in this direction have recently been made for zinc¹⁰ and beryllium,^{10, 11} with promising re-

sults.

ACKNOWLEDGMENTS

We thank the Graduate School for computing funds, and H. F. B. thanks Associated Western Universities for an Idaho Nuclear Corporation Fellowship. The generalized nonlinear least-squares fitting program is that of T. Bailey. We are also grateful to Dr. R. E. Schmunk for his kind assistance.

*Present address: Marine Physical Laboratory, Scripps Institution of Oceanography, University of California, San Diego, Calif. 92152.

¹R. E. DeWames, T. Wolfram, and G. W. Lehman, Phys. Rev. **138**, A717 (1965).

²G. Borgonovi, G. Caglioti, and J. J. Antal, Phys. Rev. **132**, 683 (1963).

³G. A. Alers and J. R. Neighbours, J. Phys. Chem. Solids **7**, 58 (1958).

⁴R. A. Cowley, Proc. Phys. Soc. (London) **90**, 1127 (1967).

⁵E. C. Svenssen and W. J. L. Buyers, Phys. Rev. **165**, 1063 (1968).

⁶E. R. Cowley and R. A. Cowley, Proc. Roy. Soc.

(London) **A287**, 259 (1965).

⁷R. E. Schmunk, R. M. Brugger, P. D. Randolph, and K. A. Strong, Phys. Rev. **128**, 562 (1962).

⁸J. F. Smith and C. L. Arbogast, J. Appl. Phys. **31**, 99 (1960).

⁹P. R. Bevington, *Data Reduction and Error Analysis for the Physical Sciences* (McGraw-Hill, New York, 1969), Chap. 11.

¹⁰E. G. Brovman, Yu. Kagan, and A. Kholas, Fiz. Tverd. Tela **11**, 896 (1969) [Sov. Phys. Solid State **11**, 733 (1969)].

¹¹W. F. King, III and P. H. Cutler, Solid State Commun. **7**, 295 (1969).

Theory of Axially Symmetric O⁻ Defect Centers in Alkali Halides

S. V. Nistor and Gh. Stoicescu*

Institute for Atomic Physics, P. O. Box, Bucharest, Romania

(Received 17 August 1970)

An analysis is presented that permits a direct determination from electron-spin resonance (ESR) data of crystal-field splitting energies and also identifies which Kramers's doublet lies lowest for axially symmetric O⁻ defect centers. The analysis is such as to make it unnecessary to solve the secular equation for both the crystal-field and spin-orbit interaction.

Electron-spin-resonance (ESR) absorption measurements made at 4.2 °K on alkali halide crystals subjected to electrolytic coloration followed by uv photolysis have demonstrated the existence of new paramagnetic centers. These centers have axial symmetry and are believed to be O⁻ ions that substitute for halide ions.^{1,2} The first theoretical analysis undertaken on the spectral parameters of this system is that due to Vannotti *et al.*^{2,3} We would like to draw attention to two aspects of their analysis: (i) The energy of the $|P_x\rangle$ level given in Ref. 3 for the case of an orthorhombic crystal field [Eq. (5)] is not an exact root of the corresponding secular equation, a point which we shall discuss; (ii) it is difficult to establish the $|P\rangle$ energy-level sequence. In order to obtain this sequence, results due to Schoemaker and Boesman⁴ were used.

In this paper, a mathematical procedure is developed which provides for exact solution to the

crystal-field splitting energies of the aforementioned problem for ESR data, without any *a priori* assumption in regard to which Kramers's doublet lies lowest.

Under the combined action of an orthorhombic crystal field

$$\hat{\mathcal{H}}_{cf} = E[L_x^2 - \frac{1}{3}L(L+1)] + (\Delta/2)(L_x^2 - L_y^2) \quad (1)$$

and the spin-orbit interaction

$$\hat{\mathcal{H}}_{LS} = \lambda \vec{L} \vec{S}. \quad (2)$$

The energies of the three Kramers's doublets resulting from the $2p^5 - ^2P$ term of the free O⁻ ion are given by

$$W^3 - (\frac{1}{3}E^2 + \frac{1}{4}\Delta^2 + \frac{3}{4}\lambda^2)W + \frac{2}{27}E^3 - \frac{1}{6}E\Delta^2 + \frac{1}{4}\lambda^3 = 0, \quad (3)$$

and the corresponding eigenvectors take the form⁵

TABLE I. Spin-Hamiltonian parameters and crystal-field splittings of O^- centers in some alkali halides.

Crystal	$g_{ }$	g_{\perp}	C_1	C_2	λ/E	λ/W	l
NaI	1.9769	2.2931	± 0.9936	± 0.07950	-0.176	+0.259	1.002
KCl	1.981	2.258	± 0.9947	± 0.07237	-0.160	+0.236	0.955
KBr	1.987	2.226	± 0.9962	± 0.06182	-0.133	+0.198	0.970
KI	1.9733	2.3023	± 0.9927	± 0.08503	-0.191	+0.278	0.974
RbI	1.9733	2.2888	± 0.9927	± 0.08503	-0.191	+0.278	0.933

$$|P^\alpha\rangle' = C_1 |P_x^\alpha\rangle + C_2 |P_x^\beta\rangle + C_3 |P_y^\beta\rangle, \quad (4)$$

$$|P^\beta\rangle' = C_1 |P_x^\beta\rangle - C_2 |P_x^\alpha\rangle + C_3 |P_y^\alpha\rangle. \quad (7)$$

{In regards to the results of Ref. 3, one can check that the value $W = E [1 - (\Delta^2/2E^2)]$ is not a root of Eq. (3). }

In the case of an axially symmetric crystal field, $\Delta = 0$ and $C_2 = C_3$. Using the eigenvectors given in Eq. (4), the following expressions may be obtained for the g -tensor components by applying the Zeeman operator $\mathcal{H}_Z = \mu_B \vec{H}(\vec{L} + g_e \vec{S})$:

$$g_{||} = |g_e C_1^2 - 2C_2^2(g_e - 2)|, \quad (5)$$

$$g_{\perp} = |g_e C_1^2 + 4C_1 C_2|,$$

where $C_1^2 + 2C_2^2 = 1$, and

$$\langle P_x | L_x | P_y \rangle = \mp \langle P_x | L_x | P_x \rangle = l. \quad (6)$$

The parameter l gives the matrix elements of the L_x operator whose deviation from unity is to be associated with fact that the corresponding one-electron wave functions are not pure p orbitals. To avoid needless complications, we have considered λ and l to be isotropic.

In the case of an axially symmetric crystalline field, the following useful relations may be obtained from the relations between the parameters C_i without actually solving the secular equation:

These relations permit a ready determination of the ratios λ/E and λ/W from ESR data (see Table I). Furthermore, with these ratios, as well as with the assumption that the sign of λ is negative,⁶⁻⁸ it becomes possible to determine which of the three Kramers's doublets lies lowest. The experimental data suggest that the $|P_x\rangle$ doublet is the lowest-lying level for all of the hosts given in Table I, while the small difference between our values of λ/E and those given in Ref. 2 can be interpreted as being due to the influence of spin-orbit interaction on the $|P_x\rangle$ level. (The formula $W_x = E$ used in Ref. 2 did not take into consideration this influence.)

It should also be mentioned that for $l \neq 1$ the aforementioned theory must be considered as a less than desirable approximation. In such a case, a more appropriate approximation would consist of the use of wave functions that are eigenvectors of $\mathcal{H}_{atom} + \mathcal{H}_{cf}$ of the central atom and ligands, both modified by an unisotropic spin-orbit interaction. Even in this case, the over-all form of g -factor formula (5) would remain the same, with λ and E being considered as effective parameters in a manner similar to that done by Zeller and Känzig⁹ for O_2^- centers.

*Present address: Faculty of Physics, University of Cluj, Cluj, Romania.

¹W. Sander, Z. Physik **169**, 353 (1962); Naturwiss. **51**, 404 (1964).

²J. R. Brailsford, J. R. Morton, and L. E. Vanotti, J. Chem. Phys. **49**, 2237 (1968).

³L. E. Vanotti and J. R. Morton, Phys. Rev. **174**, 448 (1968).

⁴D. Schoemaker and E. Boesman, Phys. Status Solidi **3**, 1695 (1963).

⁵The following form of the unperturbed $|P\rangle$ wave functions has been used:

$$|P_x^{\alpha,\beta}\rangle = |L_x = 0, S_x = \pm \frac{1}{2}\rangle,$$

$$|P_x^{\alpha,\beta}\rangle = (1/\sqrt{2})[|+1, \pm \frac{1}{2}\rangle - |-1, \pm \frac{1}{2}\rangle],$$

$$|P_y^{\alpha,\beta}\rangle = (1/\sqrt{2})[|+1, \pm \frac{1}{2}\rangle + |-1, \pm \frac{1}{2}\rangle].$$

⁶R. H. Bartram, C. E. Swenberg, and J. T. Fournier, Phys. Rev. **139**, A941 (1965).

⁷P. H. Kasai, J. Chem. Phys. **43**, 3322 (1965).

⁸R. S. Berry, J. C. Mackie, R. L. Taylor, and R. Lynch, J. Chem. Phys. **43**, 3067 (1965).

⁹H. R. Zeller and W. Känzig, Helv. Phys. Acta **40**, 845 (1967).